



OFFICE OF BUSINESS AND WORKFORCE

DIVERSITY

JANUARY 2010



Letter from the Secretary

On February 27, 2009, I was appointed by Governor Pat Quinn as the Secretary of the Illinois Department of Transportation (IDOT), and I am honored to have been chosen to lead this agency. As a former senior member of the House of Representatives, I strongly supported funding for roads, education, agriculture and rural economic development.

Illinois has over 140,759 miles of highways and 26,254 bridges and, during the course of any year, there is new construction as well as ongoing repair work. Illinois serves as the crossroad of the nation, and our state's willingness to invest in its transportation system is important to the nation's commerce. Even more important are the many employment opportunities afforded the state's citizens and contractors, large and small, through road construction.

In my role as the Secretary, I am committed to ensuring that minorities, women, and disadvantaged business enterprises are provided the opportunity to work on IDOT projects. Therefore, I congratulate the work of the Office of Business

and Workforce Diversity on promoting its mission of diversity and inclusion on IDOT projects. Diversity and inclusion are critical to improving the quality of life for our state's citizens as we enhance and improve our commercial highways, reduce urban congestion and continue the modernization of our existing infrastructure system. The Federal stimulus funds received by Illinois provide additional opportunity to not only create jobs, but opportunity to grow businesses as well.

I look forward to working with you during my tenure as Secretary to find innovative ways to increase minority and female employment and grow disadvantaged businesses in the highway construction industry, while building and maintaining Illinois' transportation system.

Sincerely,

Secretary





Lawrence Parrish Interim Director of IDOT's Office of Business and Workforce Diversity

As a key member of the Transportation Secretary's cabinet, Mr. Parrish works to ensure that diversity guidelines are implemented in all of IDOT's projects, programs and contractual services. Mr. Parrish's professional experience spans legal, government, civic and community-based organizations. Prior to his appointment as Interim Director of the Office of Business and Workforce Diversity (OBWD), he was Special Assistant Chief Counsel for IDOT and was responsible for litigation matters before the Illinois Commerce Commission and the Illinois Department of Human Rights. Mr. Parrish also handled land acquisition issues for IDOT. Mr. Parrish has been a practicing attorney for 25 years. His civic and community involvement include stints as Chairman of the Board of Directors for the Quad County Urban League (Dupage,

Kane, Kendall and Will Counties) where he presided over the construction of the Aurora Middle School Academy and the John C. Dunham Vocational and Educational Training Center. He also cultivated new funding streams for the organization and was instrumental in the development of programs designed to improve the skill level of the local workforce. Mr. Parrish is a former Commissioner of the Zoning Board of Appeals for the Village of Bolingbrook, Illinois and is involved in numerous other civic endeavors. Prior to joining IDOT, Mr. Parrish was in private practice and specialized in litigation. Mr. Parrish received his Bachelor of Arts degree in business from Western Illinois University and a Juris Doctor degree from The John Marshall Law School. He resides in Naperville with his wife Cherita, and son and daughter, William and Rachel.

Letter from Director Parrish

In April of this year, I was appointed Interim Director, and Vincent Rangel (Chicago) and Carol Lyle (Springfield) were named as Deputy Directors of the Office of Business and Workforce Diversity (OBWD). Since my appointment, the OBWD leadership team and its staff have been working diligently to improve opportunities and services for our DBE constituency. Through our combined efforts, IDOT has taken action to address some of the most immediate concerns by:

- Continuing to provide management and technical supportive services assistance for IDOT's DBE firms on a statewide basis (October 2009);
- Establishing additional DBE Resource Centers in IDOT's Districts 2 - 7 and 9 (October 2009);
- Entering into an Inter-Governmental Agreement with the Illinois Community College Board to establish a Highway Construction Careers Training Program (HCCTP) for minorities, women and disadvantaged individuals (November 2009);
- Petitioning USDOT Secretary Ray LaHood to establish dual goals (separate goals for minorities and women) on the new Mississippi River Bridge Project (October 2009);
- Increasing the minority representation on IDOT's Engineering Selection Committee by expanding the size of the committee from six to seven members and establishing permanent seats for African-American and Hispanic committee members (June 2009); and
- Establishing a Mentor/Protégé Program for consultant engineering services where DBE firms work with larger, more experienced firms on IDOT engineering contracts. This program is patterned after the Mentor-Protégé Program (Program) that IDOT established in 2007 for DBE firms in highway construction. The highway construction Program is the only federally approved (FHWA) Mentor/Protégé program in the nation.

The Office of Business and Workforce Diversity looks forward to the results of these efforts and we welcome the participation of all who share our vision.

Regards,

A handwritten signature in black ink that reads "Lawrence Parrish". The signature is written in a cursive, flowing style.

Lawrence Parrish

SMALL BUSINESS INITIATIVES

In June 2005, the Illinois Department of Transportation (IDOT) created a Small Business Initiative (SBI) program to provide the Department's Disadvantaged Business Enterprises (DBE) and other small businesses involved in Illinois' transportation-related industry an opportunity to bid as prime contractors. SBIs are small contracts that have been chosen for their potential to be performed by small businesses.

An Illinois construction business is considered a small business if its annual sales and receipts do not exceed \$10 million. In accordance with Section 45-45 of the Illinois Procurement Code and the rules of the Department, only bids from qualified small businesses are considered for award. To encourage maximum competition, SBIs are open-bidding contracts.

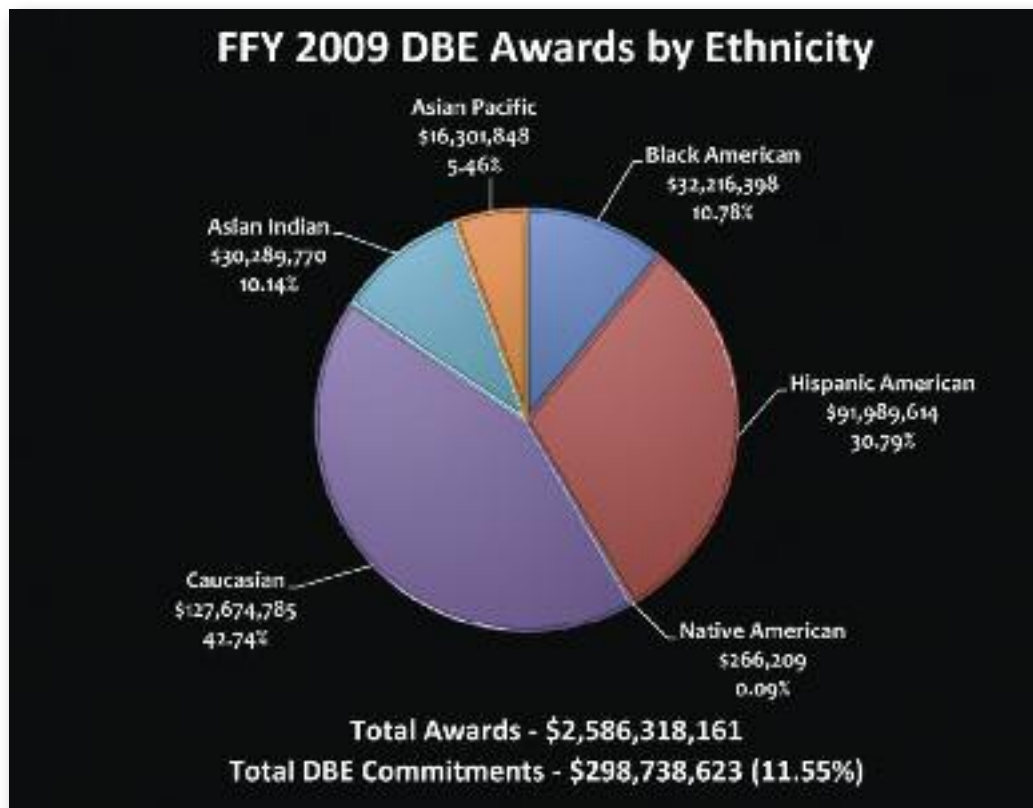
The contract is designated as a "Small Business Initiative" in IDOT's Notice of Letting (Transportation Bulletin).

Note: Prequalification with the IDOT's Bureau of Construction is waived for SBIs. Firms bidding on SBIs are not required to submit a Bid Bond or a Proposal Guaranty. Additionally, authorization to bid is not required.

SBI Awards:

- 2006:** Total SBI contract awards - \$2,485,907
(27 contracts)
Total DBE participation - \$1,045,736 (42.07%)
(7 contracts/5 DBE firms)
- 2007:** Total SBI contract awards - \$2,524,930 (25 contracts)
Total DBE participation \$218,789 (8.67%) (4 contracts/3 DBE firms)
- 2008:** Total SBI contract awards - \$5,543,649
(29 contracts)
Total DBE participation - \$4,408,183 (79.52%)
(16 contracts/5 DBE firms)
- 2009:** Total SBI contract awards - \$5,901,814.79
(20 contracts)
Total DBE participation - \$2,537,770.42 (43.00%)
(6 contracts/4 DBE firms)

SBIs provide DBE firms and other small businesses an opportunity to bid IDOT work as a prime contractor. Many of the general requirements to bid work as a prime contractor have been waived for the SBI program. For complete information regarding SBIs, or if you have any questions, please contact IDOT's Bureau of Design and Environment at 217/782-7806 or the Bureau of Small Business Enterprises at 217/785-4611.



MATERIAL SUPPLY 101

HOW CAN THIS AFFECT DBE GOAL CREDIT?

A truckload of reinforcement steel, wooden spools wrapped tightly with shiny new detector loop wire, a convoy of trucks laden with newly rolled structural steel. These items are all integral components of highway construction and there are a number of certified Disadvantaged Business Enterprise (DBE) firms that regularly provide these and other materials for Illinois Department of Transportation (IDOT) projects. On occasion, prime contractors (primes) may purchase various materials from DBE material suppliers/brokers and, on some projects, have requested approval from IDOT's Bureau of Small Business Enterprises (SBE) to utilize DBE material suppliers/brokers for DBE goal credit. While the review and approval process is the same for both suppliers and brokers, the manner in which the DBE goal credit is applied is dramatically different depending on the role the DBE firm has in the purchasing and delivery of the material.

In order for a DBE firm to be approved as a regular dealer, it has to operate within the guidelines set forth by the Federal Highway Authority for regular dealers. The firm is required to have exclusive access to distribution equipment, either by ownership or a long term lease, that is capable of transporting the inventory. A warehouse or storage facility is also required in order for a firm to qualify as a regular dealer. Material suppliers are required to take possession of the material prior to delivery to the project jobsite. The criteria for material brokers differs somewhat from that of a material supplier. Brokers are not required to possess a warehouse,

applicable distribution equipment, or take possession of the material. These differences also affect the way DBE goal credit is applied to a project.

Once it has been established that a firm has been certified as a material supplier, they are eligible for submittal by prime contractors for DBE goal credit on IDOT construction projects. When a contractor has identified and submitted a DBE certified material supplier for a project, the submittal will be evaluated and goal credit will be applied based on several factors. If the DBE firm will be responsible for ordering, shipping, and delivery of the material, the prime contractor may receive 60 percent of the purchase price toward the DBE goal. In the event a DBE certified material broker is submitted for participation on a project, the submittal will be evaluated based on similar factors. However, for material brokers, the prime contractor may receive DBE credit for the normal and customary fees and commissions associated with the purchase and delivery of the transaction, typically 3-5 percent of the purchase price. In some instances, depending on the level of involvement with ordering, purchasing, and delivery process, DBE goal credit for a certified DBE material supplier would be applied in a manner consistent with material brokers.

For further information regarding material supply, contact the Bureau of Small Business Enterprises at 217/785-4611.

DID YOU KNOW?

As of December 1, 2009, IDOT presently has the following breakdown of pre-qualified firms by the Bureau of Construction:

Non-DBE727
DBE57
Total784

As of December 1, 2009, IDOT presently has the following breakdown of pre-qualified firms by the Bureau of Design and Environment:

Non-DBE282
DBE102
Total384

IDOT CERTIFIED DBEs

As of December 1, 2009, IDOT had 522 Certified DBE firms.
A breakout by district (out-of-state is District 10) and ethnicity follows.

District	1	2	3	4	5	6	7	8	9	10	Total
BA	69	9	4	18	5	5	2	22	3	42	179
HA	73	4	2	1	0	1	1	8	2	3	95
APA	7	0	0	1	1	1	0	0	1	8	19
AIA	18	0	1	0	0	2	0	2	0	5	28
NAI	1	0	0	0	0	1	0	0	1	2	5
C	62	14	4	6	4	20	7	24	8	47	196
Total	230	27	11	26	10	30	10	56	15	107	522

Work category/area of service breakout.

Architecture/Engineering.....	61
Construction.....	222
Manufacturer.....	4
Miscellaneous Services.....	33
Professional Services.....	120
Supplier.....	42
Trucking.....	136
Total.....	618*

* Firms may be listed in multiple work category/areas of service.

DBE RESOURCE CENTERS

District 1

DBE Resource Center
Henry Gray, EEO Officer
900 South Des Plaines Street
Chicago, IL 60607
312/939-1100
Hours: 7:30am – 3:30pm

District 2

DBE Resource Center
Fred Marruffo, EEO Officer
819 Depot Avenue, Room 209
Dixon, IL 61021
815/284-5317
Hours: By appointment *

District 3

DBE Resource Center
Marco Maury, EEO Officer
700 East Norris Drive, Room 121
Ottawa, IL 61350
815/434-8551 (Ralph G. Moore & Associates)
815/434-8587 (Quigg Engineering)
Hours: By appointment *

District 4

DBE Resource Center
Kati Bailey, EEO Officer
401 Main Street, 7th Floor
Peoria, IL 61602
309/671-3333
Hours: By appointment *

District 5

DBE Resource Center
Ron Brown, EEO Officer
13473 IL Hwy. 133
Paris, IL 61944
217/465-4181, Ext. 293
Hours: By appointment *

District 6

DBE Resource Center
Pam Simon, EEO Officer
2713 Stevenson Drive
Springfield, IL 62703
217/785-5466
Hours: By appointment *

District 7

DBE Resource Center
John Shrum, EEO Officer
400 West Wabash, Annex Room
Effingham, IL 62401
217/342-8300
Hours: By appointment *

District 8

DBE Resource Center
Lee Coleman, EEO Officer
225 North 9th Street
East St. Louis, IL 62201
Phone: 618/583-2213
Hours: 8:00am – 4:30pm

District 9

DBE Resource Center
Delores Smith, EEO Officer
2801 West Murphysboro Road
Carbondale, IL 62903
Phone: 618/549-2171, Ext. 326
Hours: By appointment *

*By appointment. To schedule an appointment for supportive services assistance at District 2 - 7 and 9's DBE Resource Centers, contact the appropriate supportive services consultant (management or technical, depending on your need).

Go to www.dot.il.gov/sbe/ssassistance.html for IDOT's consultant contact information.

ILLINOIS DEPARTMENT OF TRANSPORTATION/ILLINOIS STATE TOLL HIGHWAY AUTHORITY DISADVANTAGED BUSINESS ENTERPRISE DISPARITY STUDY

In August of 2009, Illinois Department of Transportation (IDOT) and the Illinois State Toll Highway Authority (Tollway) announced the start of a joint disparity study.

Mason Tillman Associates, Ltd. (MTA), a national public policy research firm based in Oakland, California, is conducting the Disparity Study. A Disparity Study is an evaluation of the procurement and contracting practices of government agencies, particularly their use of minority, woman-owned, and disadvantaged business enterprises. The goal of the Disparity Study is to accomplish the following:

- Identify the best practices that will help IDOT and the Tollway build a business program to stimulate the economic growth of local businesses;
- Increase the participation of small, local, minority, woman-owned and disadvantaged business enterprises in IDOT and the Tollway's contracts;
- Promote a sound fiduciary relationship with local businesses;

- Reinforce social responsibility toward the local business community.

As part of the Disparity Study process, MTA has conducted three business community meetings and is currently continuing its outreach efforts and data collection process. IDOT and the Tollway have provided MTA with their prime and subcontractor contract records, and we are seeking your continued support and cooperation should you be contacted and asked to provide additional information.

The Project Managers for the study are Carol Lyle, Illinois Department of Transportation, Deputy Director, Office of Business and Workforce Diversity, and Marlene Y. Rodriguez-Vick, Illinois State Toll Highway Authority, Senior Manager of Program Development.

If you would like additional information regarding the study, please contact MTA at 510/835-9012.

OBWD Partners in the Chicago Public School



This summer, the Chicago staff of OBWD worked with their partners in the Chicago Public School (CPS) to make it possible for a few inner-city children to get an early look at a career in engineering. The program was made possible with the cooperation of Jim Patton, IDOT Resident Technician for the 290 project. The students, Cleo Richard and Landry Price, are products of CPS career oriented schools that direct their students towards a career in the various classifications of engineers. The students were able to get actual hands-on experience on IDOT construction programs under the supervision of Jim Patton and his staff of engineers, some of which were undergraduates in a variety of local

universities. They measured and recorded work sites, as well as learned to interpret prints and work schedules. OBWD feels that if we encourage students of this caliber to become engineers, they will be the future owners of the type of emerging minority-owned firms OBWD endeavors to promote and assist.

IDOT MENTOR PROTÉGÉ PROGRAMS

Highway Construction

IDOT's highway construction Mentor Protégé Program (Program) was established in 2007 to give Disadvantaged Business Enterprises (DBEs) the opportunity to expand their businesses and successfully compete for work on IDOT contracts. This Program is the only federally approved Mentor Protégé Program in the nation.

The Program was initially offered in Districts 1, 4, 6 and 8, but was later expanded to include all nine districts. By working with experienced highway construction prime contractors (Mentors), DBEs (Protégés) can learn how to operate more efficiently and bid more competitively on IDOT contracts. Any contract that fits the criteria of a Mentor Protégé project is eligible.

Mentors must have at least five years' experience as a contractor (preferably, but not necessarily as a prime contractor) on IDOT highway construction contracts and agree to devote a minimum of five to ten hours per month working with the Protégé.

Protégés must have at least three years of experience in the highway construction industry, be a certified DBE (certified by IDOT or another member agency of the Illinois Unified

Certification Program), and remain eligible for DBE certification throughout the duration of its participation.

Presently, there are 25 approved Mentors and 37 approved Protégés. Three projects have been successfully completed by Mentor Protégé teams, and there is one project in progress.

Consultant Engineering

On November 1, 2009, Secretary Hannig announced the establishment of the consultant engineering Mentor-Protégé Program (Program). This Program allows DBE firms to partner with veteran consultant engineering firms to gain knowledge and experience performing IDOT engineering projects.

To become a Mentor, a firm must have at least five years of experience as a consultant (preferably, but not necessarily as a prime consultant) on IDOT highway engineering contracts and may not have a less than satisfactory performance rating in the categories mentored. The Protégé must be a certified DBE firm and perform at least one task on the project in which they are currently prequalified.

IDOT looks forward to this Program facilitating the expansion of opportunities for DBE engineering firms.

Small Business Advisory Committee

It's Coming Back!

The Small Business Advisory Committee (SBAC) was initially established in the late 80's and served in an advisory capacity to IDOT on matters relating to the Disadvantaged Business Enterprise (DBE) program. The SBAC's make up included DBE associations and prime contracting organizations that represented a broad cross-section of the construction industry.

The SBAC became inactive in 2002; however, due to considerable interest by the DBE contracting community, will be reestablished in January 2010 and will meet quarterly. In addition to the industry associations, the SBAC will include members from the Office of Business and Workforce Diversity and a District EEO officer on a rotating basis.

The continued mission of the SBAC will be to provide constructive input on DBE and small business issues affecting opportunities in highway construction, such as finance and bonding, race-neutral initiatives, DBE Utilization Plan submission with bids and other issues related to small businesses, programs and policies.

Make sure your respective organization or association is aware of your concerns and represents your interests at the meetings.

13TH ANNUAL TRANSPORTATION SYMPOSIUM

On December 1, 2009, the Illinois Department of Transportation (IDOT) joined the other transit/transportation agencies in putting together what has become Chicago land's largest annual major DBE, MBE, WBE and emerging business networking event. IDOT's Office of Business and Workforce Diversity (OBWD) worked closely with the DBE departments of RTA, CTA, METRA, PACE and the Illinois Tollway Authority to provide a one stop shopping experience. The day was divided into several events geared to working with a variety of minority firms and their varied levels of experience and capacity.

The day began with the "Symposium's" first dedicated "Matchmaking" session which afforded minority and DBE businesses a one-on-one opportunity to sit down with prime contractors from a variety of fields with hopes of partnering with them in some capacity. The primes sent their first line representatives in search of minority partners that would enhance their capacity to meet participational goal requirements for the different municipal contracts. The four disciplines represented included: Construction, Manufacturing & Suppliers, Architecture & Engineering, and Professional Services.

There were also workshops that provided subcontractors, and especially the newly emerging businesses, testimonials from DBE/MBE/WBE firms on the topics of "Life after Certification" or what to expect once you have survived the certification process. "Where's my Contract?" explored the next phase of business development where formerly certified DBEs who graduated shared their experiences as prime contractors/consultants and told the audience what they found to be their "Elements of Success."

Finally, there was an opportunity for participants to hear an overview of the coming year's projects from all six agencies. The event concluded with a huge networking session where all parties met for a meet and greet with all the participants, exhibitors, and agencies.

This year the event drew a record 553 registered companies that could visit 134 stations to look for opportunities to work in this most important and timely facet of our economy.





Spotlight on DBE Firms

District 3

Campos Construction, Inc.

Campos Construction, Inc. (Campos), under the leadership of Linda Campos, has been in business for over 20 years and has the reputation of performing outstanding work. It has also always been the firm's mission to employ minorities to the fullest extent possible. Campos' workforce is almost 70 percent minority; Campos has provided training and employment opportunities that would not have been available otherwise. Campos has also always been willing to assist a small business in whatever way possible, including using other firms as subcontractors. Ms. Campos is also a founding member of the Northern Illinois Minority Companies Association (NIMCA) which was founded in April 1989.

District 7

Gardenscape Contractors, Inc.

Raul Garcia, a union laborer, started Gardenscape Contractors, Inc. (Gardenscape) in March 2001, as a seeding and landscaping subcontractor. His firm primarily bids work in Districts 5, 7 and 8. Gardenscape's diverse work crew includes two Hispanic males, one female and three males. The firm recently acquired additional equipment to expand its categories to perform drainage work. Mr. Garcia is also working to become a prime contractor.

District 9

Pinoy Construction, Inc.

Ben Teston started in the contracting business in 1991 after working as a union cement finisher. Initially, Pinoy Construction, Inc. performed work on IDOT contracts as a subcontractor and residential work. The firm's work categories have grown as the firm progressed and they are presently approved to perform over 10 work categories.

Mr. Teston's plan is for his firm is to fill a niche for the general contractors in performing the miscellaneous work items they are not interested in performing. These items are usually labor intensive and sometimes involve special materials. In his words, "If it was easy, everyone would be doing it."

DBE Firms

Keep Your E-mail Address and Taxpayer ID Number Current

As of late, the Office of Business and Workforce Diversity's Bureau of Small Business Enterprises (bureau) has started communicating with IDOT's DBE firms through e-mail blasts, and now regularly sends out information that is relevant and timely to the DBEs, e.g., information pertaining to lettings, supportive services assistance, bonding and financing, important meetings, etc.

It is IMPORTANT that IDOT can reach you via e-mail to let you know the latest information. It is also very necessary that your e-mail address is correct in the Illinois Unified Certification DBE Directory (Directory) in the event prime contractors need to contact you.

In addition, it is ESSENTIAL that you keep your Taxpayer Identification Number (TIN) current, as that is your identifying number within IDOT. IDOT tracks your firm information and contract participation via your TIN and if it is incorrect in the Directory and/or the database, your information will not be published or included in DBE reports.

This is a reminder to keep both your e-mail address and TIN up-to-date with the bureau's Certification Section. Call 217/782-5490 or e-mail Mr. Poteat at brian.poteat@illinois.gov.

Today's Challenge, Tomorrow's Reward

2010 DBE Conference

Back by Popular Demand

Tuesday, March 2, 2010

Registration 12:00 pm

Event 1:00 pm - 7:00 pm

Wednesday, March 3, 2010

Registration 8:00 am

Event 8:30 am - 2:00 pm

Crowne Plaza Springfield

3000 S. Dirksen Parkway

Springfield, IL 62703

- Workshops to assist you in becoming more successful in business
 - Build relationships with IDOT
- Network with Prime Contractors and Prime Consultants
 - Awards Recognition

*For further information contact
Becky Forneris, 217/782-9104 or visit*

www.dot.il.gov

**SAVE THE
DATE**



Illinois Department
of Transportation

Federal Uniform Report of DBE Awards or Commitments and Payments

The Uniform Report of DBE Awards or Commitments and Payments is a United States Department of Transportation (DOT) report that all DOT Operating Administrations (in this case, the Federal Highway Administration - FHWA) use to collect DBE information from their federal fund recipients on a semi-annual basis. The following report is IDOT's semi-annual (2nd half of FFY 2009) report to FHWA.

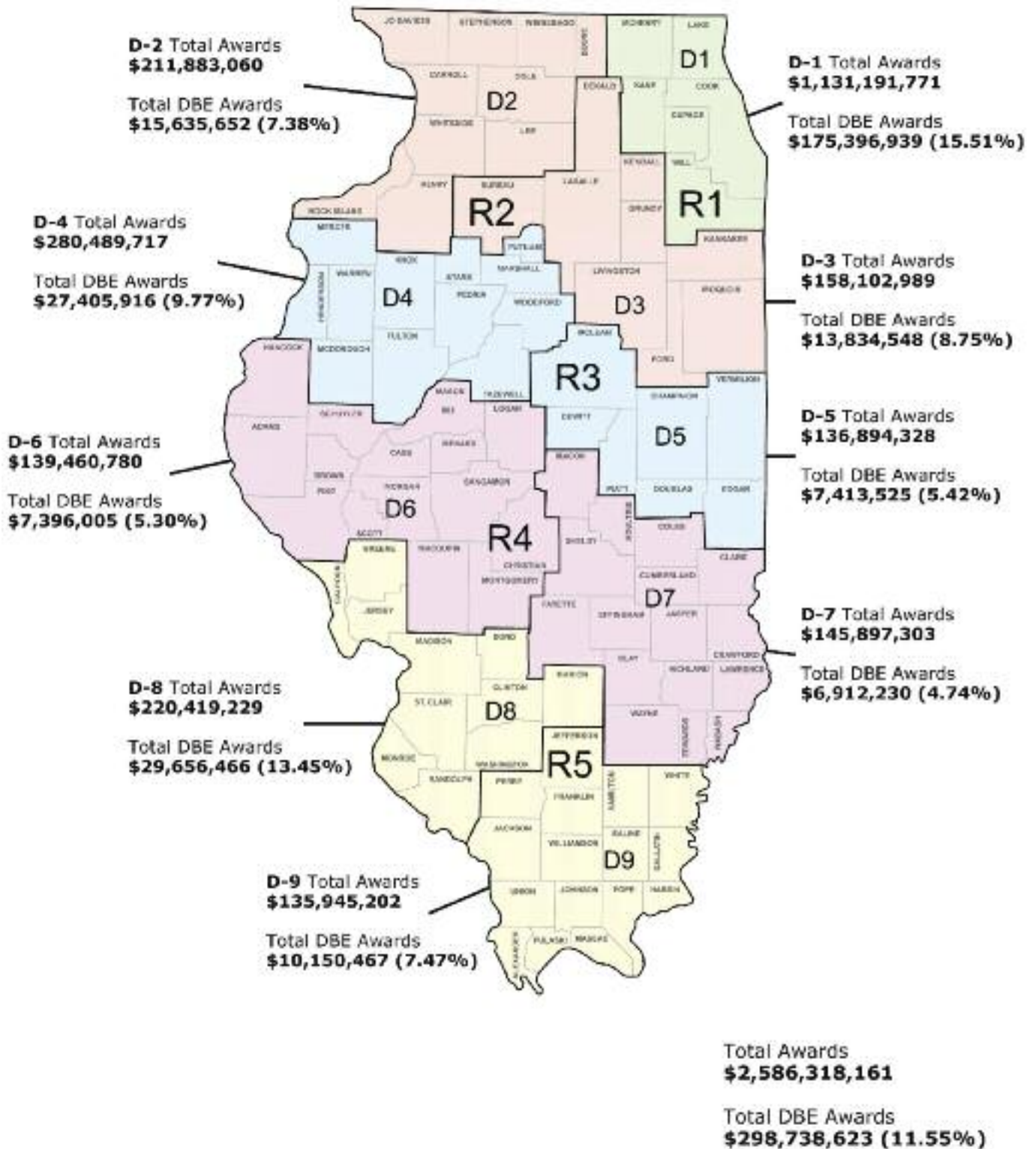
To help explain the report, IDOT's statewide aspirational goal of 22.77 percent is divided into two parts, race conscious and race-neutral (for FFY 2009, 18.69 percent and

4.08 percent respectively, row 7). IDOT's attainment of its 22.77 percent goal is measured by the information shown in rows 12, 13 and 14, "Actual Payments on Contracts Completed This Reporting Period."

IDOT's race conscious goal of 18.69 percent was exceeded by 10.13 percent (28.82 percent); the race neutral goal of 3.78 percent fell just shy of the projected goal of 4.08 percent. Overall, IDOT reached a goal of 23.25 percent, which exceeded its statewide aspirational goal by 0.48 percent.

UNIFORM REPORT OF DBE AWARDS OR COMMITMENTS AND PAYMENTS									
1. Submitted to (Check only one): <input checked="" type="checkbox"/> FHWA <input type="checkbox"/> FAA <input type="checkbox"/> FTA									
2. AIP Numbers (FAA Recipients Only)									
3. Federal fiscal year in which reporting period falls: FY 2009					4. Date This Report Submitted: 12/15/2009				
5. Reporting Period: From 4/1/2009 to 9/30/2009					<input type="checkbox"/> Report due June 1 (for period Oct. 1-Mar. 31)				
<input checked="" type="checkbox"/> Report due Dec. 1 (for period April 1-Sept. 30)									
6. Name of Recipient: Illinois Department of Transportation									
7. Annual DBE Goal(s):									
Race Conscious Goal 18.69%		Race Neutral Goal 3.78%		Overall Goal 22.77%					
	A	B	C	D	E	F	G	H	I
AWARDS/COMMITMENTS MADE DURING THIS REPORTING PERIOD (total contracts and subcontracts awarded or committed during this reporting period)	Total Dollars	Total Number	Total to DBEs (dollars)	Total to DBEs (number)	Total to DBEs (Race Conscious (dollars))	Total to DBEs/Race Conscious (number)	Total to DBEs/Race Neutral (dollars)	Total to DBEs/Race Neutral (number)	Percentage of total dollars to DBEs
8. Prime contracts awarded this period	\$1,047,851,993	688	\$13,811,472	20	---	---	\$13,811,472	20	1.32%
9. Subcontracts awarded/committed this period	\$260,458,213	3455	\$111,648,827	1744	\$108,827,198	1608	\$4,820,931	136	42.90%
TOTAL			\$125,459,500	1764	\$108,827,198	1608	\$18,632,334	156	11.98%
	A	B	C	D	E	F	G	H	I
DBE AWARDS/COMMITMENTS THIS REPORTING PERIOD BREAKDOWN BY ETHNICITY & GENDER	Black American	Hispanic American	Native American	Subcont. Asian American	Asian-Pacific American	Non-Minority Women	Other (i.e. not of any other group listed here)	TOTALS (for this reporting period only)	Year-End TOTALS
10. Total Number of Contracts (Prime and Sub)	188	450	7	55	53	1013	0	1764	1764
11. Total Dollar Value	\$13,901,404	\$41,492,558	\$87,763	\$11,814,871	\$4,317,488	\$54,035,587	\$0	\$125,459,500	\$125,459,500
	A	B	C	D	E				
ACTUAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD	Number of Prime Contracts Completed	Total Dollar Value of Prime Contracts Completed	DBE Participation Needed to Meet Goal (dollars)	Total DBE Participation (dollars)	Percentage of Total DBE Participation				
12. Race Conscious	108	\$157,256,077	\$29,391,181	\$45,323,250	28.82%				
13. Race Neutral	334	\$45,037,371	---	\$1,704,680	3.78%				
14. Totals	442	\$202,293,448	---	\$47,027,930	23.25%				

FFY 2009 DBE Awards by District



SPECIAL NOTICE: **New Rules for DBE Utilization Plan Submission**

Please take note that DBE Utilization Plans, both forms SBE 2025 and SBE 2026, are now required to be submitted with the bid on all IDOT construction contracts that has a specified goal in the Special Provision for Disadvantaged Business Enterprise Participation.

Revised DBE Special Provision

Effective January 1, 2010

- The DBE Special Provision was changed to accommodate the requirements of state law, SB 351, which imposed limits on bidders to amend their bids or proposals after the bid opening.

Changes:

- Bids will be read and evaluated for responsiveness in accordance with Department rule 660.220 (44 Ill. Admin. Code 660.220);
 - Utilization Plan must be materially complete;
 - No amendment will be made to the Utilization Plan between the bid opening and award of the contract*; and
 - Good faith efforts must be turned in with the bid.
-
- Federal regulations do not allow negotiating changes to any element of a contract prior to award, including the Utilization Plan (23 CFR 635.113).

Items of Materiality:

- Names and addresses of DBE firms that will participate in the contract;
- A description of the work the DBE will perform;
- Dollar amount of the participation of each DBE firm participating;
- Written documentation of the bidder's commitment to use a DBE subcontractor whose participation it submits to meet a contract goal;
- Written confirmation from the DBE that it is participating; and
- If the contract goal is not met, evidence of good faith efforts must be provided.

Contractor's Market Place

In your quest to do business with IDOT, don't forget to visit this site - www.dot.il.gov/const/wrkcat.html.

The Contractor's Market Place is IDOT's electronic bulletin board for the contractor community. Prime or subcontractors that are interested in receiving or providing quotes on letting items for bid should complete and submit an interest form.

Individual reports are generated from the information in the interest forms for each letting date. The reports can be viewed by letting date and searched by item number or work category.

Web page example:

The screenshot shows the Illinois Department of Transportation (IDOT) website's Contractor's Market Place. The page is titled "CONTRACTOR'S MARKET PLACE" and contains several sections:

- Introduction:** Describes the market place as a forum for prime contractors, subcontractors, and suppliers to communicate and submit interest forms for specific letting items.
- Interest Submittal:** Provides instructions for submitting interest forms, including links for Prime Contractors and Sub Contractors.
- Interest Reports:** Explains that individual reports are generated for each letting date, searchable by letting date, item number, or work category.
- Pay Item Report / Coded Pay Items Information:** Details the purpose of these reports, which list pay items and coded pay items for bidding. It includes examples of how to use these reports to find competition.
- Related Information:** Lists links for "Instructions for Submitting Reports", "User Agreement", "Work Categories", "Letting Information", and "Notice of Letting".

At the bottom of the page, there is a footer with the text: "IDOT Home | Interest | Back | Copy | Home | File | Home | Web Accessibility | FOIA".

Contact a technical supportive services consultant for assistance in using this web site (click on www.dot.il.gov/sbe/ssassistance.html for consultant information).



Illinois Department of Transportation

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